

# Neural Networks: Old and New

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January 22, 2026

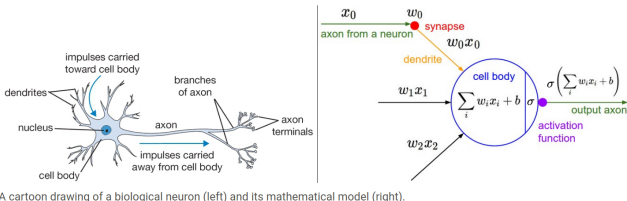
Start from neurons

Shallow to deep neural networks

A brief history of AI

Suggested reading

# Model of biological neurons

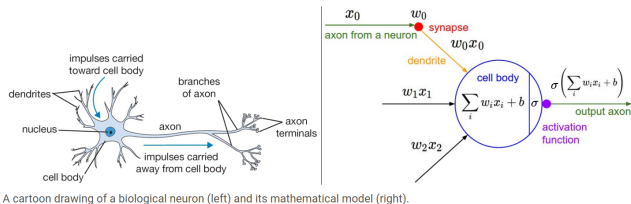


Credit: Stanford CS231N

Biologically ...

- Each neuron receives signals from its **dendrites**
- Each neuron outputs signals via its single **axon**
- The axon branches out and connects via **synapse** to dendrites of other neurons

# Model of biological neurons



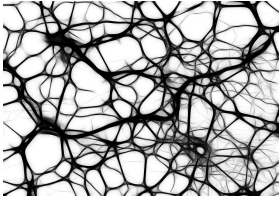
Credit: Stanford CS231N

Mathematically ...

- Each neuron receives  $x_i$ 's from its **dendrites**
- $x_i$ 's weighted by  $w_i$ 's (synaptic strengths) and summed  $\sum_i w_i x_i$
- The neuron fires only when the combined signal is above a certain threshold:  $\sum_i w_i x_i + b$
- Fire rate is modeled by an **activation function**  $\sigma$ , i.e., outputting  $\sigma(\sum_i w_i x_i + b)$

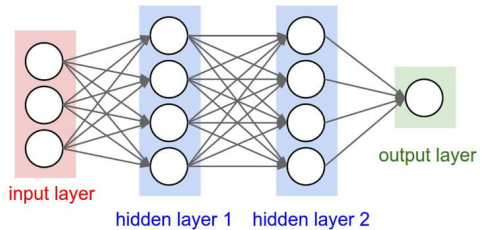
# Artificial neural networks

## Brain neural networks



~ 86-billion neurons (Credit: Max Pixel)

## Artificial neural networks



## Why called **artificial**?

- (Over-)simplification on neural level
- (Over-)simplification on connection level

In this course, neural networks are always artificial.

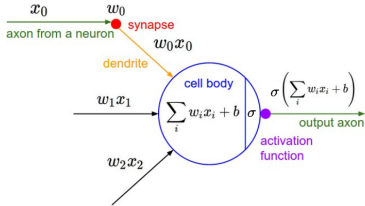
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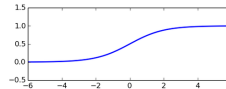
Suggested reading

# Artificial neurons



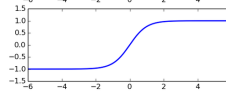
$$\sigma\left(\sum_i w_i x_i + b\right) = \sigma\left(\mathbf{w}^\top \mathbf{x} + b\right)$$

## Examples of activation function $\sigma$



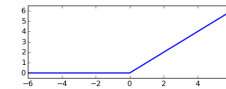
Sigmoid

$$\phi(z) = \frac{1}{1 + e^{-z}}$$



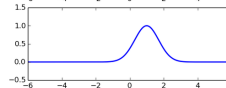
Hyperbolic Tangent

$$\phi(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$



Rectified Linear

$$\phi(z) = \begin{cases} 0 & \text{if } z < 0 \\ z & \text{if } z \geq 0 \end{cases}$$



Radial Basis Function

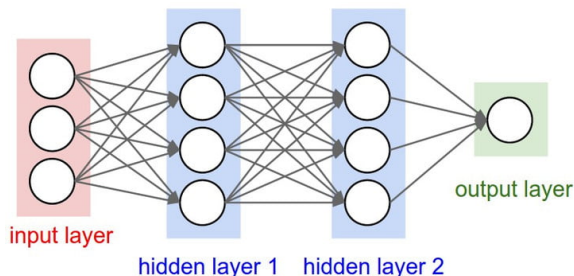
$$\phi(z, c) = e^{-(c||z - c||)^2}$$

Credit: [Hughes and Correll, 2016]

# Neural networks

One neuron:  $\sigma(\mathbf{w}^\top \mathbf{x} + b)$

Neural networks (NN): **structured** organization of artificial neurons



$\mathbf{w}$ 's and  $\mathbf{b}$ 's are unknown and need to be learned

Many models in machine learning **are** neural networks



## Supervised Learning

- Gather training data  $(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_n, \mathbf{y}_n)$
- Choose a family of functions, e.g.,  $\mathcal{H}$ , so that there is  $f \in \mathcal{H}$  to ensure  $\mathbf{y}_i \approx f(\mathbf{x}_i)$  for all  $i$
- Set up a loss function  $\ell$  to measure the approximation quality
- Find an  $f \in \mathcal{H}$  to minimize the average loss

$$\min_{f \in \mathcal{H}} \frac{1}{n} \sum_{i=1}^n \ell(\mathbf{y}_i, f(\mathbf{x}_i))$$

... known as **empirical risk minimization** (ERM) framework in learning theory

# Supervised learning meets NNs

## Supervised Learning from NN viewpoint

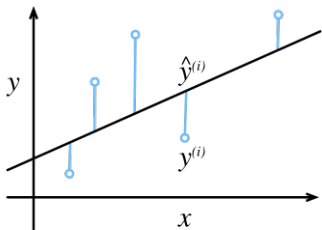
- Gather training data  $(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_n, \mathbf{y}_n)$
- Choose a NN with  $k$  neurons, so that there is a group of weights, e.g.,  $(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)$ , to ensure

$$\mathbf{y}_i \approx \{\text{NN}(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)\}(\mathbf{x}_i) \quad \forall i$$

- Set up a loss function  $\ell$  to measure the approximation quality
- Find weights  $(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)$  to minimize the average loss

$$\min_{\mathbf{w}'s, b's} \frac{1}{n} \sum_{i=1}^n \ell[\mathbf{y}_i, \{\text{NN}(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)\}(\mathbf{x}_i)]$$

# Linear regression



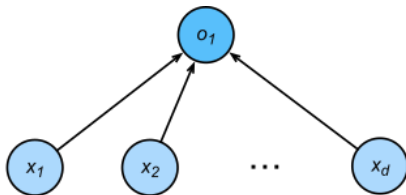
Credit: D2L

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$ ,  $\mathbf{x}_i \in \mathbb{R}^d$
- Model:  $y_i \approx \hat{y}_i \doteq \mathbf{w}^\top \mathbf{x}_i + b$
- Loss:  $\|y_i - \hat{y}_i\|_2^2$
- Optimization:

$$\min_{\mathbf{w}, b} \frac{1}{n} \sum_{i=1}^n \|y_i - (\mathbf{w}^\top \mathbf{x}_i + b)\|_2^2$$

Output layer

Input layer



Credit: D2L

$\sigma$  is the identity function

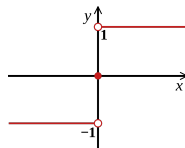
# Perceptron



**Frank Rosenblatt**

(1928–1971)

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$ ,  
 $\mathbf{x}_i \in \mathbb{R}^d$ ,  $y_i \in \{+1, -1\}$
- Model:  $y_i \approx \sigma(\mathbf{w}^\top \mathbf{x}_i + b)$ ,  $\sigma$  sign function

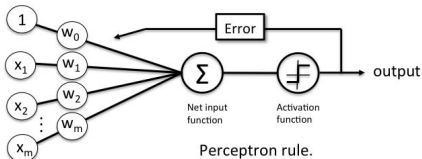


- Loss:  $\mathbf{1}\{y \neq \hat{y}\}$
- Optimization:

$$\min_{\mathbf{w}, b} \frac{1}{n} \sum_{i=1}^n \mathbf{1}\{y_i \neq \sigma(\mathbf{w}^\top \mathbf{x}_i + b)\}$$

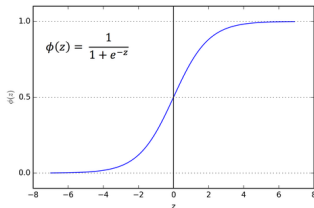
# Perceptron

Perceptron is a single artificial neuron for **binary classification**



dominated early AI (50's – 60's)

**Logistic regression** is similar but with **sigmoid** activation



# Softmax regression

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$ ,  $\mathbf{x}_i \in \mathbb{R}^d$ ,  $y_i \in \{L_1, \dots, L_p\}$ , i.e., multiclass classification problem
- Data preprocessing: labels into vectors via **one-hot encoding**

$$L_k \implies [\underbrace{0, \dots, 0}_{k-1 \text{ 0's}}, 1, \underbrace{0, \dots, 0}_{p-k \text{ 0's}}]^\top$$

So:  $y_i \implies \mathbf{y}_i$

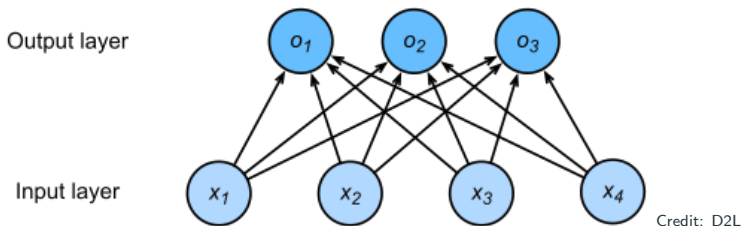
- Model:  $\mathbf{y}_i \approx \sigma(\mathbf{W}^\top \mathbf{x}_i + \mathbf{b})$ , here  $\sigma$  is the softmax function (**maps vectors to vectors**): for  $\mathbf{z} \in \mathbb{R}^p$ ,

$$\mathbf{z} \mapsto \left[ \frac{e^{z_1}}{\sum_j e^{z_j}}, \dots, \frac{e^{z_p}}{\sum_j e^{z_j}} \right]^\top.$$

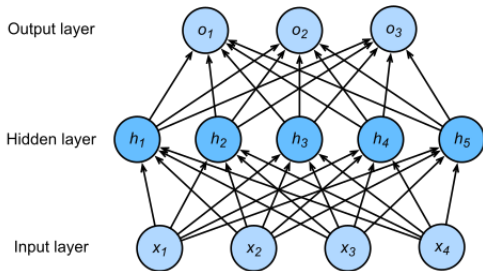
- Loss: **cross-entropy loss**  $-\sum_j y_j \log \hat{y}_j$
- Optimization ...

# Softmax regression

... for multiclass classification



# Multilayer perceptrons (MLP)



Credit: D2L


$$\text{Model: } \mathbf{y}_i \approx \sigma_2(\mathbf{W}_2^\top \sigma_1(\mathbf{W}_1^\top \mathbf{x} + \mathbf{b}_1) + \mathbf{b}_2)$$

Also called **fully-connected networks**

Modern NNs:

- many hidden layers: deep neural networks (DNNs)
- refined/structured connection and/or activations (convolutional/recurrent/graph/... NNs)





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scikit-learn 1.1.2  
Other versions

Please [cite us](#) if you use the software.


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1.17.3. Regression  
1.17.4. Regularization  
1.17.5. Algorithms  
1.17.6. Complexity  
1.17.7. Mathematical formulation  
1.17.8. Tips on Practical Use  
1.17.9. More control with warm\_start

## 1.17. Neural network models (supervised)

**Warning:** This implementation is not intended for large-scale applications. In particular, scikit-learn offers no GPU support. For much faster, GPU-based implementations, as well as frameworks offering much more flexibility to build deep learning architectures, see [Related Projects](#).

### 1.17.1. Multi-layer Perceptron

**Multi-layer Perceptron (MLP)** is a supervised learning algorithm that learns a function  $f(\cdot) : R^m \rightarrow R^o$  by training on a dataset, where  $m$  is the number of dimensions for input and  $o$  is the number of dimensions for output. Given a set of features  $X = x_1, x_2, \dots, x_m$  and a target  $y$ , it can learn a non-linear function approximator for either classification or regression. It is different from logistic regression, in that between the input and the output layer, there can be one or more non-linear layers, called hidden layers. Figure 1 shows a one hidden layer MLP with scalar output.



[https://scikit-learn.org/stable/modules/neural\\_networks\\_supervised.html](https://scikit-learn.org/stable/modules/neural_networks_supervised.html)

## They're all (shallow) NNs

- Linear regression
- Perception and Logistic regression
- Softmax regression
- Multilayer perceptron (feedforward NNs)
- Support vector machines (SVM)
- PCA (autoencoder)
- Matrix factorization

see, e.g., Chapter 2 of [[Aggarwal, 2018](#)].

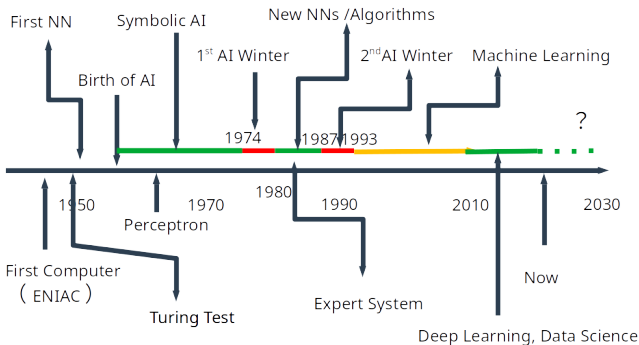
Start from neurons

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A brief history of AI

Suggested reading

# Birth of AI

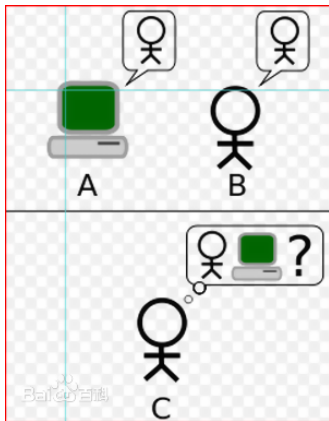


- Crucial precursors: first computer, Turing test
- 1956: Dartmouth Artificial Intelligence Summer Research Project — Birth of AI

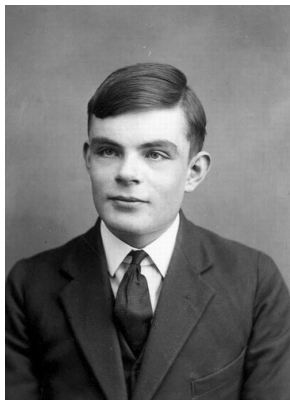
# What's intelligence?



# Turing test

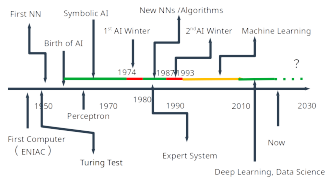


Turing Test

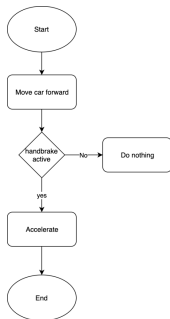


Alan Turing (1912–1954)

# First golden age

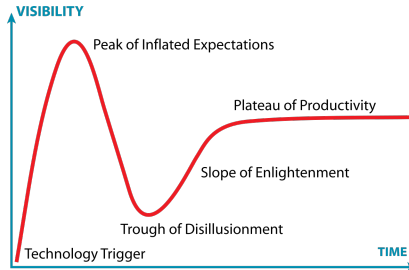
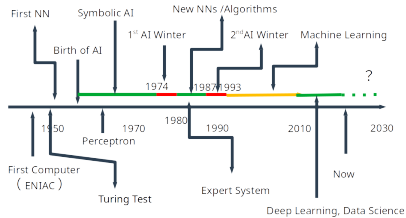


## Symbolic AI: modeling general logic and reasoning



rules for recognizing dogs?

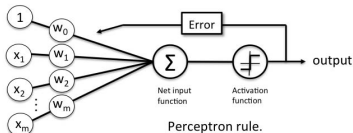
# First AI winter



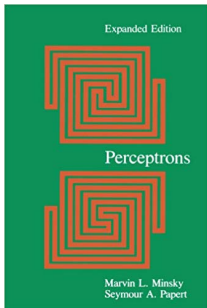
Gartner hype cycle



# Perceptron



invented 1962

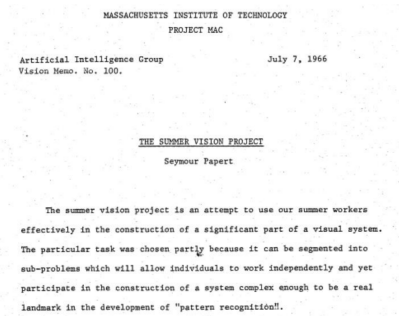


written in 1969, end of  
Perceptron era

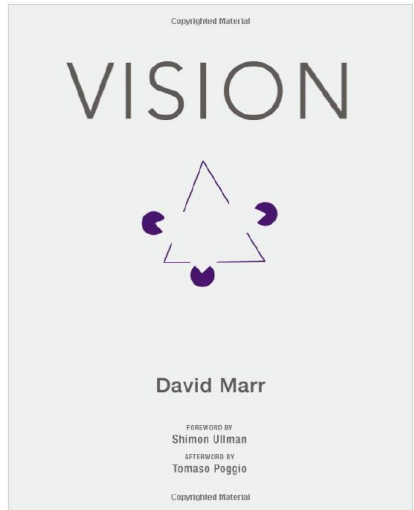


Marvin Minsky (1927–2016)

# Birth of computer vision

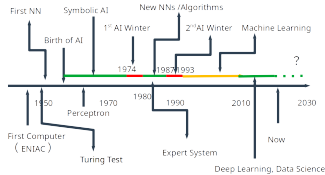


1966

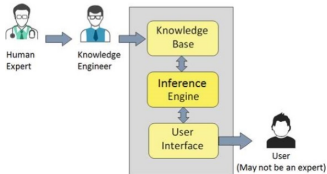


around 1980

# Second golden age



## expert system—building in domain-specific knowledge



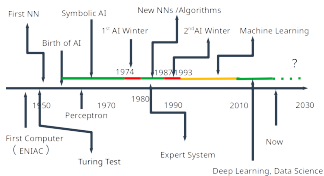
Can we build comprehensive knowledge bases and know all rules?

# Big bang in DNNs

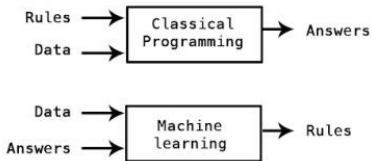
Key ingredients of DL have been in place for 25-30 years:

Landmark	Emblem	Epoch
Neocognitron	Fukushima	1980
CNN	Le Cun	mid 1980s'
Backprop	Hinton	mid 1980's
SGD	Le Cun, Bengio etc	mid 1990's
Various	Schmidhuber	mid 1980's
<i>CTF</i>	<i>DARPA etc</i>	<i>mid 1980's</i>

# After 2nd AI winter



Machine learning takes over ...



rules learned from data, or **data-driven**

Starting 1990's

- Support vector machines (SVM)

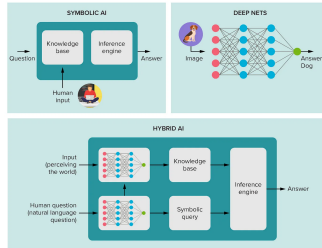
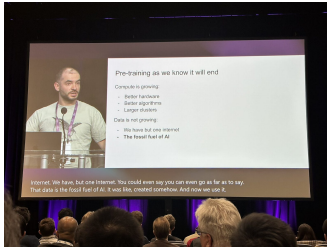
- Adaboost

- Decision trees and random forests

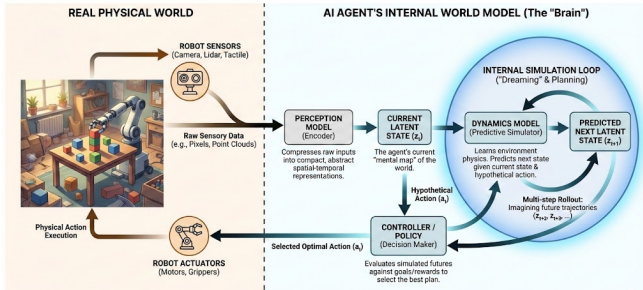
- Deep learning (2010's)

- ...

# What's next?



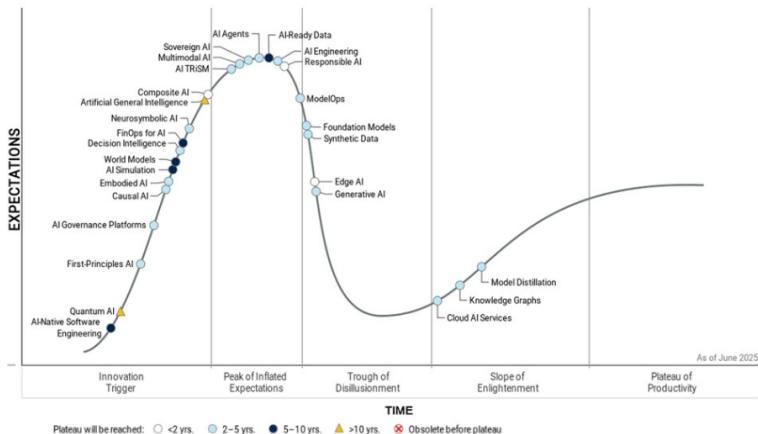
## World Models for AI and Robotics



**CORE IDEA:** The agent learns an internal model of the world's dynamics to simulate and plan actions mentally before taking risks in the real world, enabling faster learning and smarter decisions.

# What's next?

Figure 1: Hype Cycle for Artificial Intelligence 2025



Gartner

Source: Gartner (August 2025)



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Suggested reading

## Suggested reading

- Chap 2, Neural Networks and Deep Learning.
- Chap 3–4, Dive into Deep Learning.
- Chap 1, Deep Learning with Python.

- [Aggarwal, 2018] Aggarwal, C. C. (2018). **Neural Networks and Deep Learning**. Springer International Publishing.
- [Hughes and Correll, 2016] Hughes, D. and Correll, N. (2016). **Distributed machine learning in materials that couple sensing, actuation, computation and communication**. *arXiv:1606.03508*.