

# Neural Networks: Old and New

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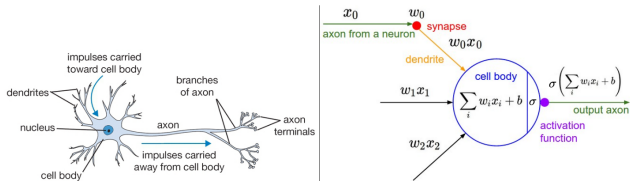
Start from neurons

Shallow to deep neural networks

A brief history of AI

Suggested reading

# Model of biological neurons



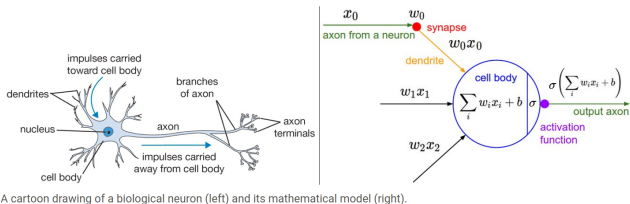
A cartoon drawing of a biological neuron (left) and its mathematical model (right).

Credit: Stanford CS231N

Biologically ...

- Each neuron receives signals from its **dendrites**
- Each neuron outputs signals via its single **axon**
- The axon branches out and connects via **synapse** to dendrites of other neurons

# Model of biological neurons



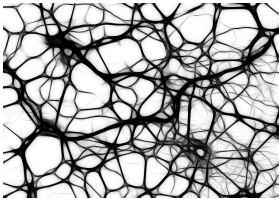
Credit: Stanford CS231N

Mathematically ...

- Each neuron receives  $x_i$ 's from its **dendrites**
- $x_i$ 's weighted by  $w_i$ 's (synaptic strengths) and summed  $\sum_i w_i x_i$
- The neuron fires only when the combined signal is above a certain threshold:  $\sum_i w_i x_i + b$
- Fire rate is modeled by an **activation function**  $\sigma$ , i.e., outputting  $\sigma(\sum_i w_i x_i + b)$

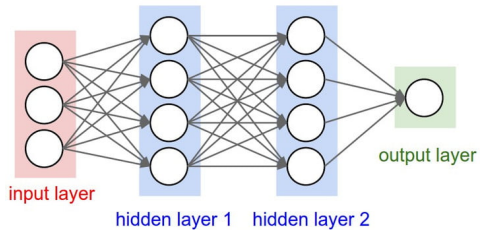
# Artificial neural networks

## Brain neural networks



~ 86-billion neurons (Credit: Max Pixel)

## Artificial neural networks



## Why called **artificial**?

- (Over-)simplification on neural level
- (Over-)simplification on connection level

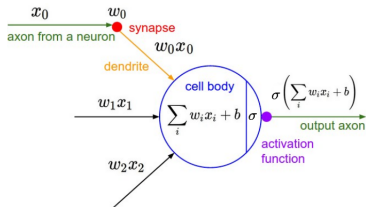
In this course, neural networks are always artificial.

Start from neurons

Shallow to deep neural networks

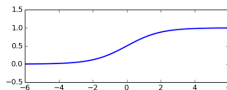
A brief history of AI

Suggested reading



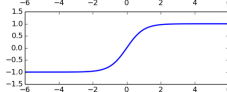
$$\sigma \left( \sum_i w_i x_i + b \right) = \sigma (\mathbf{w}^\top \mathbf{x} + b)$$

## Examples of activation function $\sigma$



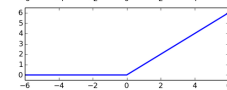
**Sigmoid**

$$\phi(z) = \frac{1}{1 + e^{-z}}$$



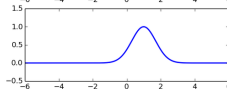
**Hyperbolic Tangent**

$$\phi(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$



**Rectified Linear**

$$\phi(z) = \begin{cases} 0 & \text{if } z < 0 \\ z & \text{if } z \geq 0 \end{cases}$$



**Radial Basis Function**

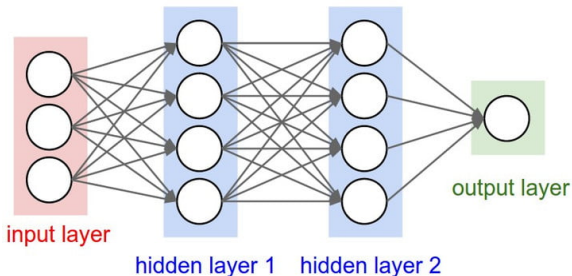
$$\phi(z, c) = e^{-c(\|z - c\|)^2}$$

Credit: [Hughes and Correll, 2016]

# Neural networks

One neuron:  $\sigma(\mathbf{w}^\top \mathbf{x} + b)$

Neural networks (NN): **structured** organization of artificial neurons



$w$ 's and  $b$ 's are unknown and need to be learned

Many models in machine learning **are** neural networks



## Supervised Learning

- Gather training data  $(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_n, \mathbf{y}_n)$
- Choose a family of functions, e.g.,  $\mathcal{H}$ , so that there is  $f \in \mathcal{H}$  to ensure  $\mathbf{y}_i \approx f(\mathbf{x}_i)$  for all  $i$
- Set up a loss function  $\ell$  to measure the approximation quality
- Find an  $f \in \mathcal{H}$  to minimize the average loss

$$\min_{f \in \mathcal{H}} \frac{1}{n} \sum_{i=1}^n \ell(\mathbf{y}_i, f(\mathbf{x}_i))$$

... known as **empirical risk minimization** (ERM) framework in learning theory

## Supervised Learning from NN viewpoint

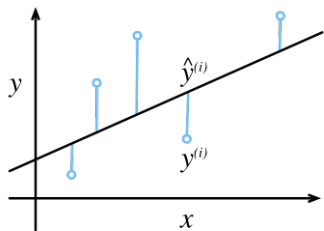
- Gather training data  $(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_n, \mathbf{y}_n)$
- Choose a NN with  $k$  neurons, so that there is a group of weights, e.g.,  $(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)$ , to ensure

$$\mathbf{y}_i \approx \{\text{NN}(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)\}(\mathbf{x}_i) \quad \forall i$$

- Set up a loss function  $\ell$  to measure the approximation quality
- Find weights  $(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)$  to minimize the average loss

$$\min_{\mathbf{w}'_s, b'_s} \frac{1}{n} \sum_{i=1}^n \ell[\mathbf{y}_i, \{\text{NN}(\mathbf{w}_1, \dots, \mathbf{w}_k, b_1, \dots, b_k)\}(\mathbf{x}_i)]$$

# Linear regression



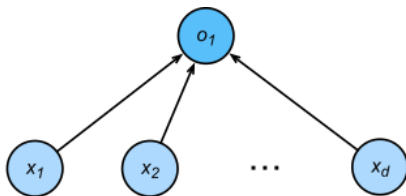
Credit: D2L

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n), \mathbf{x}_i \in \mathbb{R}^d$
- Model:  $y_i \approx \mathbf{w}^\top \mathbf{x}_i + b$
- Loss:  $\|y - \hat{y}\|_2^2$
- Optimization:

$$\min_{\mathbf{w}, b} \frac{1}{n} \sum_{i=1}^n \|y_i - (\mathbf{w}^\top \mathbf{x}_i + b)\|_2^2$$

Output layer

Input layer



Credit: D2L

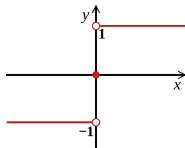
$\sigma$  is the identity function



**Frank Rosenblatt**

(1928–1971)

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$ ,  
 $\mathbf{x}_i \in \mathbb{R}^d$ ,  $y_i \in \{+1, -1\}$
- Model:  $y_i \approx \sigma(\mathbf{w}^\top \mathbf{x}_i + b)$ ,  $\sigma$  sign function

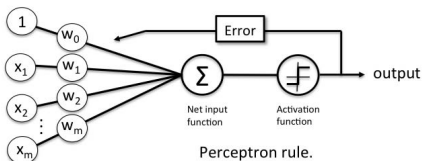


- Loss:  $\mathbf{1}\{y \neq \hat{y}\}$
- Optimization:

$$\min_{\mathbf{w}, b} \frac{1}{n} \sum_{i=1}^n \mathbf{1}\{y_i \neq \sigma(\mathbf{w}^\top \mathbf{x}_i + b)\}$$

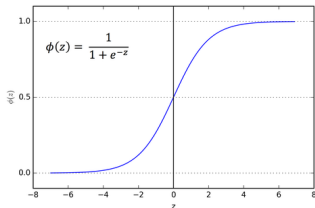
# Perceptron

Perceptron is a single artificial neuron for **binary classification**



dominated early AI (50's – 70's)

**Logistic regression** is similar but with **sigmoid** activation



# Softmax regression

- Data:  $(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)$ ,  $\mathbf{x}_i \in \mathbb{R}^d$ ,  $y_i \in \{L_1, \dots, L_p\}$ , i.e., multiclass classification problem
- Data preprocessing: labels into vectors via **one-hot encoding**

$$L_k \implies \underbrace{[0, \dots, 0]}_{k-1 \text{ 0's}}, 1, \underbrace{[0, \dots, 0]}_{p-k \text{ 0's}}^\top$$

So:  $y_i \implies \mathbf{y}_i$

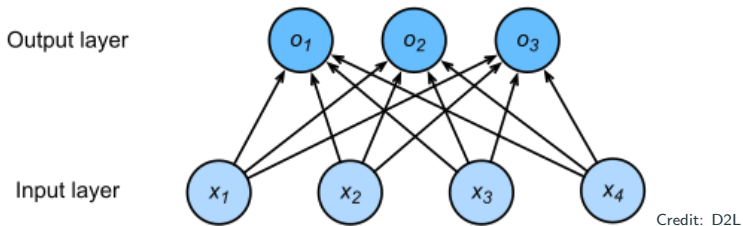
- Model:  $\mathbf{y}_i \approx \sigma(\mathbf{W}^\top \mathbf{x}_i + \mathbf{b})$ , here  $\sigma$  is the softmax function (**maps vectors to vectors**): for  $\mathbf{z} \in \mathbb{R}^p$ ,

$$\mathbf{z} \mapsto \left[ \frac{e^{z_1}}{\sum_j e^{z_j}}, \dots, \frac{e^{z_p}}{\sum_j e^{z_j}} \right]^\top.$$

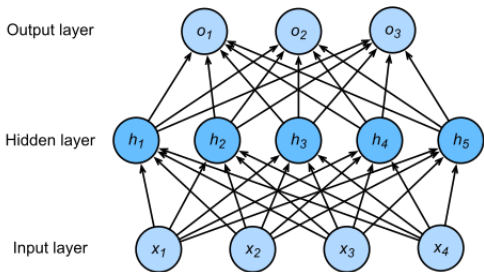
- Loss: **cross-entropy loss**  $-\sum_j y_j \log \hat{y}_j$
- Optimization ...

# Softmax regression

... for multiclass classification



# Multilayer perceptrons (MLP)



Credit: D2L

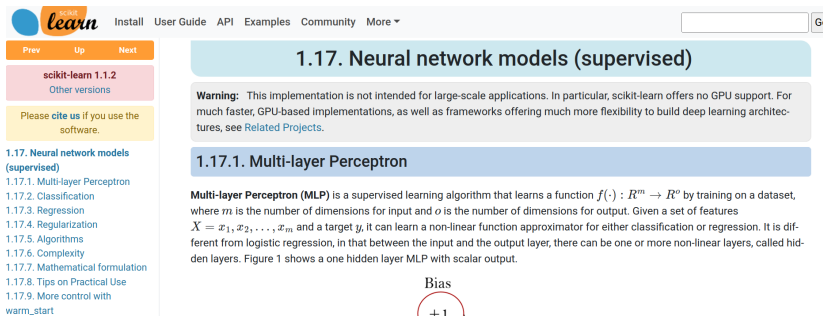
$$\text{Model: } \mathbf{y}_i \approx \sigma_2(\mathbf{W}_2^T \sigma_1(\mathbf{W}_1^T \mathbf{x} + \mathbf{b}_1) + \mathbf{b}_2)$$

Also called **fully-connected networks**

Modern NNs:

- many hidden layers: deep neural networks (DNNs)
- refined/structured connection and/or activations (convolutional/recurrent/graph/... NNs)





The screenshot shows the scikit-learn documentation page for MLP models. The top navigation bar includes links for 'Install', 'User Guide', 'API', 'Examples', 'Community', and 'More'. The main heading is '1.17. Neural network models (supervised)'. Below it is a 'Warning' box stating that the implementation is not intended for large-scale applications and lacks GPU support. The sub-section '1.17.1. Multi-layer Perceptron' is highlighted. The text describes the MLP as a supervised learning algorithm that learns a function  $f(\cdot) : R^m \rightarrow R^o$  by training on a dataset  $X = x_1, x_2, \dots, x_m$  and a target  $y$ . It notes that MLPs can have one or more non-linear hidden layers. A diagram shows a bias node labeled '+1' circled in red, with 'Bias' written above it and 'Bias' written below it.

scikit-learn

Install User Guide API Examples Community More

Prev Up Next

scikit-learn 1.1.2  
Other versions

Please cite us if you use the software.

1.17. Neural network models (supervised)

- 1.17.1. Multi-layer Perceptron
- 1.17.2. Classification
- 1.17.3. Regression
- 1.17.4. Regularization
- 1.17.5. Algorithms
- 1.17.6. Complexity
- 1.17.7. Mathematical formulation
- 1.17.8. Tips on Practical Use
- 1.17.9. More control with warm\_start

## 1.17. Neural network models (supervised)

**Warning:** This implementation is not intended for large-scale applications. In particular, scikit-learn offers no GPU support. For much faster, GPU-based implementations, as well as frameworks offering much more flexibility to build deep learning architectures, see [Related Projects](#).

### 1.17.1. Multi-layer Perceptron

**Multi-layer Perceptron (MLP)** is a supervised learning algorithm that learns a function  $f(\cdot) : R^m \rightarrow R^o$  by training on a dataset, where  $m$  is the number of dimensions for input and  $o$  is the number of dimensions for output. Given a set of features  $X = x_1, x_2, \dots, x_m$  and a target  $y$ , it can learn a non-linear function approximator for either classification or regression. It is different from logistic regression, in that between the input and the output layer, there can be one or more non-linear layers, called hidden layers. Figure 1 shows a one hidden layer MLP with scalar output.

Bias

+1

Bias

[https://scikit-learn.org/stable/modules/neural\\_networks\\_supervised.html](https://scikit-learn.org/stable/modules/neural_networks_supervised.html)

## They're all (shallow) NNs

- Linear regression
- Perception and Logistic regression
- Softmax regression
- Multilayer perceptron (feedforward NNs)
- Support vector machines (SVM)
- PCA (autoencoder)
- Matrix factorization

see, e.g., Chapter 2 of [[Aggarwal, 2018](#)].

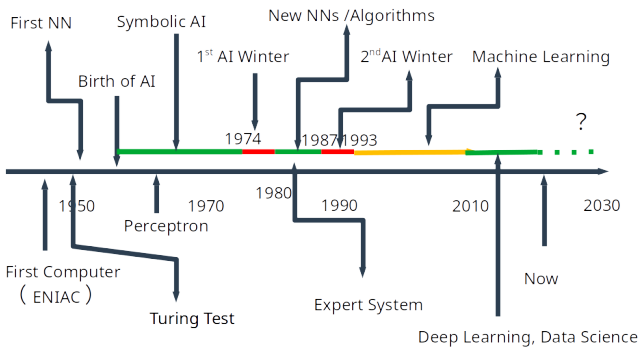
Start from neurons

Shallow to deep neural networks

A brief history of AI

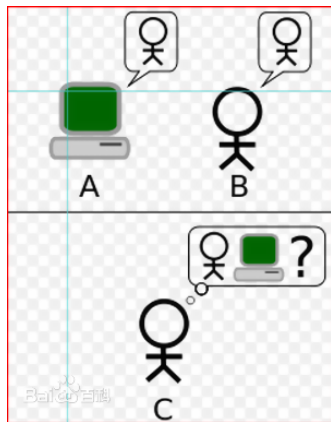
Suggested reading

# Birth of AI

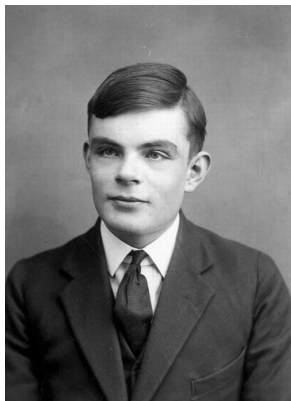


- Crucial precursors: first computer, Turing test
- 1956: Dartmouth Artificial Intelligence Summer Research Project — Birth of AI

# Turing test

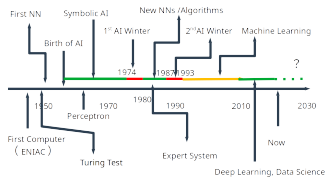


Turing Test

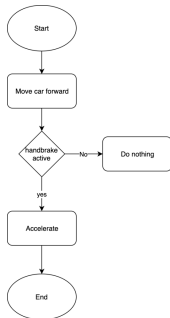


Alan Turing (1912–1954)

# First golden age

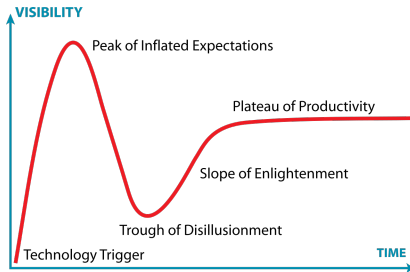
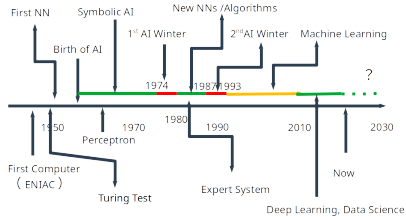


## Symbolic AI: modeling general logic and reasoning



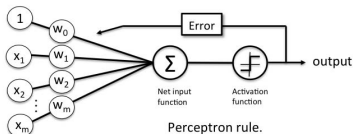
rules for recognizing dogs?

# First AI winter

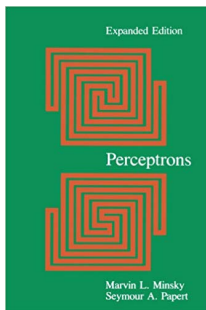


Gartner hype cycle

# Perceptron



invented 1962



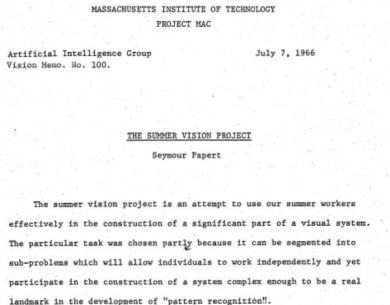
written in 1969, end of  
Perceptron era



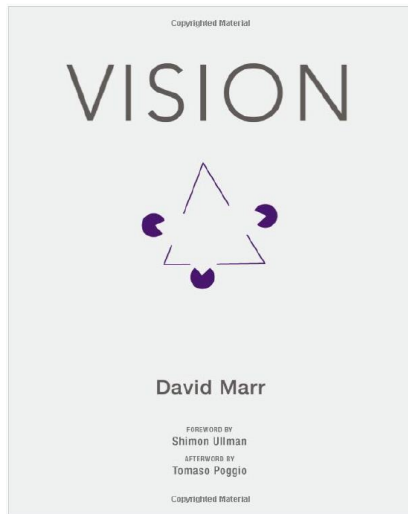
Marvin Minsky (1927–2016)



# Birth of computer vision

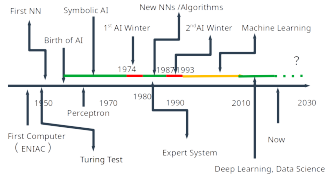


1966

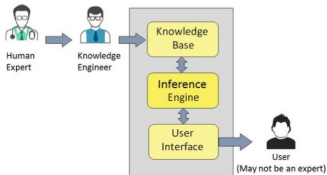


around 1980

# Second golden age



## expert system—building in domain-specific knowledge

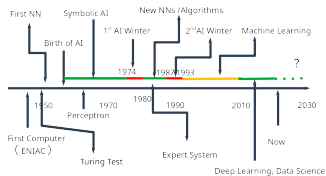


Can we build comprehensive knowledge bases and know all rules?

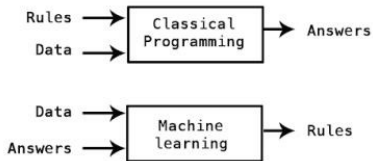
Key ingredients of DL have been in place for 25-30 years:

Landmark	Emblem	Epoch
Neocognitron	Fukushima	1980
CNN	Le Cun	mid 1980s'
Backprop	Hinton	mid 1980's
SGD	Le Cun, Bengio etc	mid 1990's
Various	Schmidhuber	mid 1980's
<i>CTF</i>	<i>DARPA etc</i>	<i>mid 1980's</i>

# After 2nd AI winter



Machine learning takes over ...



rules learned from data, or **data-driven**

Starting 1990's

Support vector machines (SVM)

Adaboost

Decision trees and random forests

Deep learning (2010's)

...

Start from neurons

Shallow to deep neural networks

A brief history of AI

Suggested reading

- Chap 2, Neural Networks and Deep Learning.
- Chap 3–4, Dive into Deep Learning.
- Chap 1, Deep Learning with Python.

[Aggarwal, 2018] Aggarwal, C. C. (2018). **Neural Networks and Deep Learning**. Springer International Publishing.

[Hughes and Correll, 2016] Hughes, D. and Correll, N. (2016). **Distributed machine learning in materials that couple sensing, actuation, computation and communication**. *arXiv:1606.03508*.